



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF)

JEFFREY A. HERMAN et al.)

Serial No. 09/848,951)

Filed: May 3, 2001)

For: METHOD AND APPARATUS FOR)
ANALYZING DATA)

) Examiner: Unassigned

) Group Art Unit: 2131

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please consider the following amendments and remarks.

Applicant hereby submits the attached claim pages 18 - 24 as replacement to claim page 18 of the original application as required under 37 CFR 1.121(c)(1)(i). Applicant further submits a marked-up copy of said claim pages as required under 37 CFR 1.121(c)(1)(ii).



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:) Attorney Docket No.: SUNMP055C2
HERMAN et al.)
Application No.: 09/848,951) Examiner: Kendall, Chuck O.
Filed: May 3, 2001) Group Art Unit: 2122
For: METHOD AND APPARATUS FOR ANALYZING) Date: May 3, 2004
DATA)

Duplicate for
fee processing

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the
United States Postal Service as First Class Mail to: Commissioner
for Patents, Alexandria, VA 22313-1450 on May 3, 2004.

Signed: 
Sylvia Castillo

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MAY 07 2004

Transmitted herewith is an Amendment in the above-identified application. Technology Center 2100
The fee has been calculated as shown below.

	Claims Remaining After Amendment	Highest Previously Paid For	Present Extra	SMALL ENTITY RATE FEE	OR	LARGE ENTITY RATE FEE
TOTAL						
CLAIMS	<u>27</u>	<u>-</u>	<u>27</u>	<u>0</u>	<u>X09 = \$</u>	<u>OR</u>
INDEP						
CLAIMS	<u>03</u>	<u>-</u>	<u>03</u>	<u>0</u>	<u>X43 = \$</u>	<u>OR</u>
[] Multiple Dependent Claim Present and Fee Not Previously Paid				<u>\$145</u>		<u>\$290</u>
				TOTAL	<u>\$</u>	<u>\$0</u>

Applicant(s) hereby petition for a _____ month(s) extension of time to respond to the outstanding Office Action.

Applicant(s) believe that no (additional) Extension of Time is required; however, if it is determined that such an extension is required, Applicant(s) hereby petition that such an extension be granted and authorize the Commissioner to charge the required fees for an Extension of Time under 37 CFR 1.136 to Deposit Account No. 50-0805.

Enclosed is our Check No. _____ in the amount of \$_____ to cover the additional claim fee and/or extension of time fees.

If the required fees are missing or any additional fees are required to facilitate filing the enclosed response, please charge such fees or credit any overpayment to Deposit Account No. 50-0805 (Order No. SUNMP055C2). A copy of this sheet is enclosed.

Respectfully submitted,
MARTINE & PENILLA, LLP



Jaya Nair, Esq.
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PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 126.00)

Complete if Known

Application Number 09/848,951

Filing Date May 3, 2001

First Named Inventor JEFFREY A. HERMAN

Examiner Name UNASSIGNED

Group Art Unit 2131

Attorney Docket No. 83000.946C2/P2867C2

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MAY 07 2004

Technology Center 2100

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$ 126.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	27	-20** = 7 X 18.00	= 126.00
Independent Claims	3	-3** = --- X ---	= ---
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 126.00)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ---)

SUBMITTED BY

Name (Print/Type)	Cynthia A. Casby	Registration No. (Attorney/Agent)	47,475	Telephone	(310) 286-0377
Signature				Date	January 3, 2002

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